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By: Annita Lise

Dated: December 18, 2002

Patent  
Atty. Docket: J355-019 US

H-4  
1-8-03  
P.2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Akemi SAWADA  
Serial No. : 09/308,114  
Filing Date : May 13, 1999  
For : A COMMUNICATIONS SYSTEM FOR PROVIDING  
INFORMATION UTILIZING SUBSCRIBER LINE  
Examiner : M. Tran  
Group Art Unit : 2664

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Commissioner for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- [ ] Under 37 C.F.R. 1.129(a), or  
(First/Second Submission after Final Rejection)
- [ ] Under 37 C.F.R. 1.97(b), or  
(Within any one of the following time periods: three (3) months of filing national application, other than a CPA, or date of entry of the national stage in an international application; or before the mailing date of a first official action on the merits in a non-provisional application, including a CPA; or a Request for Continued Examination (RCE))
- [XX] Under 37 C.F.R. 1.97(c), together with either:
- [XX] Statement under 37 C.F.R. 1.97(e), as checked below, or
- [ ] Fee of \$180.00 under 37 C.F.R. 1.17(p), or  
(After the 37 C.F.R. 1.97(b) time period, but before Final Office Action or Notice of Allowance, whichever issues first)

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[ ] Under 37 C.F.R. 1.97(d), together with:

[ ] Statement under 37 C.F.R. 1.97(e), as checked below, and

[ ] Fee of \$180.00 under 37 C.F.R. 1.17(p), or  
(Filed after Final Office Action or Notice of Allowance, whichever issues first,  
but on or before payment of Issue Fee)

[ ] Under 37 C.F.R. 1.97 (i):

Applicant respectfully requests that the I.D.S. and cited reference(s)  
be placed in the application file wrapper (Filed after payment of Issue Fee).

**Statement Under 37 C.F.R. 1.97(e):**

[ ] Each item of information contained in this Information Disclosure  
Statement was first cited in any communication from a foreign Patent  
Office in a counterpart foreign application not more than three (3)  
months prior to the filing of this Information Disclosure Statement; or

[XX] No item of information contained in this Information Disclosure  
Statement was cited in a communication from a foreign Patent Office in a  
counterpart foreign application, and, to the knowledge of the  
undersigned, after making reasonable inquiry, no item of information  
contained in the Information Disclosure Statement was known to any  
individual designated in 37 C.F.R. 1.56(c) more than three (3) months  
prior to the filing of this Information Disclosure Statement.

**Statement Under 37 C.F.R. 1.704 (d) - (Patent Term Adjustment):**

(Applies to original applications, other than designs, filed on or after May 29, 2000)

[ ] Each item of information contained in the Information Disclosure  
Statement was cited in a communication from a foreign Patent Office in a  
counterpart application and this communication was not received by any  
individual designated in 37 C.F.R. 1.56(c) more than thirty (30) days prior to  
the filing of the Information Disclosure Statement.

**Enclosed Herewith is Form PTO-1449, and:**

[XX] Copies of the cited references are enclosed.

[ ] Copies of the cited references are enclosed, except those entered in  
prior application, U.S. Serial No. \_\_\_\_\_, to which priority under  
35 U.S.C. 120 is claimed (The earlier application contains copies of the  
cited references).

[XX] The listed references were cited in the enclosed International Search  
Report in a counterpart foreign application.

[XX] The "concise explanation" requirement (non-English reference) for  
Reference(s) \_\_\_\_\_ under 37 C.F.R. 1.98(a)(3) is satisfied by:

[ ] the explanation provided on the attached sheet;

[ ] the explanation provided in the specification;

[ ] submission of the enclosed International Search Report; or

[XX] the enclosed English language Abstract.

[ ] Applicant requests that the following pending applications be considered:

U.S. Patent/Application No. \_\_\_\_\_, filed/issued \_\_\_\_\_  
U.S. Patent/Application No. \_\_\_\_\_, filed/issued \_\_\_\_\_  
U.S. Patent/Application No. \_\_\_\_\_, filed/issued \_\_\_\_\_

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

[ ] A copy of each above-cited application, including the current claims, is enclosed.

[ ] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application Serial No. \_\_\_\_\_, to which priority under 35 U.S.C. 120 is claimed.

The Examiner is respectfully requested to return a copy of the above list of pending applications indicating which references were considered with the next Official Action.

Further, it is respectfully requested that the information disclosed herein be made of record in this application.

**Method of Payment:**

[ ] A check for the fee noted above is enclosed.

[ ] Please charge our deposit account No. 14-1431.

[XX] The Commissioner is authorized to charge any deficiency in payment of fees and credit any overpayment to our Deposit Account No. 14-1431.

Respectfully submitted,



Mark A. Conklin

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Dated: December 19, 2002

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